

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Tuesday 11 June 2019**, Room 2.07, Garrod Building, Whitechapel.

AGENDA

Part 1: Introduction	Paper	Committee Lead	Lead
1. Welcome and apologies	Oral report	D Willis	N/A
2. Meeting of 13 March 2019: <ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary 	ARC2018/xx	D Willis	N Lewycky
3. Matters arising	ARC2018/xx	D Willis	N Lewycky
Part 2: Risk Management			
4. Deep dive: IT (TBC)	ARC2018/xx	D Willis	
5. Strategic Risk Register	ARC2018/xx	N Choudhary	C Murray
6. Whistleblowing cases since the last meeting	ARC2018/xx	D Willis	J Jones J Morgan
Part 3: Statutory and Regulatory Compliance			
7. OfS Assurance reports: <ul style="list-style-type: none"> • Annual Funding Data Reconciliation Exercise • Prevent Duty monitoring outcome 	ARC2018/xx	D Willis	J Morgan
8. Health and Safety Interim report	ARC2018/xx	D Willis	J Morgan
Part 4: Internal Audit			
9. Planned internal audit reports: <ul style="list-style-type: none"> • Managing partnerships • Research governance • Financial management • Overseas working 	ARC2018/xx	M Tatton	KPMG
10. Internal audit plan 2019–20	ARC2018/xx	M Tatton	KPMG
Part 5: Financial Control			
11. Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones
12. Policy review:	ARC2018/xx	D Willis	J Jones

- Capital expenditure policy
- Risk Management policy

13.	Making provision for the intercompany transfer between QMUL and QMUL Malta	ARC2018/xx	D Willis	J Jones
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Part 7: Committee Management and Reporting

14.	*Draft agenda for the next meeting	ARC2018/xx	D Willis	N Lewycky
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15.	Review of Committee Terms of Reference, Membership and effectiveness	ARC2018/xx	D Willis	N Lewycky
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16.	Annual Schedule of Business 2019–20	N/A	D Willis	N Lewycky
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17. **Dates of meetings 2019 – 20**

- Thursday 03 October 2019 at 1500 hours, Room TBC.
- Monday 11 November 2019 at 1500 hours, Dean Rees House Boardroom, Charterhouse Square.
- Thursday 12 March 2020 at 1500 hours, Robert Tong Room, Mile End.
- Wednesday 10 June 2020 at 1500 hours, Robert Tong Room, Mile End.

*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.