



Matters Arising

Outcome requested:	Audit and Risk Committee is asked to note the matters arising from the minutes of the meeting held on 09 March 2021.
Executive Summary:	N/A
QMUL Strategy: strategic aim reference and sub-strategies	The effective management of the Queen Mary's governance arrangements underpins the ability to achieve the strategic aims.
Internal/External regulatory/statutory reference points:	N/A
Strategic Risks:	N/A
Equality Impact Assessment:	Not required
Subject to prior and onward consideration by:	Considered by the Committee only.
Confidential paper under FOIA/DPA	No
Timing:	N/A
Author:	Nadine Lewycky, Assistant Registrar (Governance)
Date:	01 June 2021
Senior Management/External Sponsor:	David Willis, Chair of Audit and Risk Committee

Actions from meeting of: 09 March 2021

Minute no.	Action	Person responsible	Progress
2020.045[a]	<p>Matters arising [ARC2020/35] Cyber security The Committee asked whether the reports should include all incidents reported to the Information Commissioners Office (ICO). The threshold for the ICO was low which might result in the Committee receiving a high volume of low risk incidents. The Committee might more usefully receive reportable incidents where the ICO has indicated that further action is necessary. The Chief Governance Officer and University Secretary would consult with the Data protection lead about the appropriate reporting level.</p>	Chief Governance Officer and University Secretary	All breaches reported to the ICO would be shared with the Committee. The reporting level will remain under review.
2020.050[b]	<p>Update on internal audit recommendations [ARC2020/40] Internal audit plan update The review on staff conduct was being delayed so that data could be gathered on the new HR policies. A proposal for a topic to replace staff conduct in the internal audit would be circulated to the Committee for approval before the next meeting.</p>	Chief Governance Officer and University Secretary	Following discussion at the last Committee meeting about a report on TRAC, this is being taken forward.

Matters arising outside of the meetings

Conclusion of Prevent ADR monitoring 2019-20

We had submitted our Prevent accountability and data return (ADR) for the academic year 2019-2020. We received confirmation that the Office for Students (OfS) had reviewed the submission about had no further queries. The OfS would not be issuing compliance judgements for this particular process.