



Matters Arising

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| Outcome requested: | Audit and Risk Committee is asked to note matters arising from the minutes of the previous meeting. |
| Executive Summary: | - |
| QMUL Strategy: strategic aim reference and sub-strategies [e.g., SA1.1] | The effective management of the College's governance arrangements underpins the College's ability to achieve its strategic aims |
| Internal/External regulatory/statutory reference points: | n/a |
| Strategic Risks: | 13 – Maintain effective and constructive governance |
| Equality Impact Assessment: | n/a |
| Subject to prior and onward consideration by: | Considered by the Committee only. |
| Confidential paper under FOIA/DPA YES/NO* | No |
| Timing: | n/a |
| Author: | Hayley Simpson, Governance Administrator |
| Date: | 13 th August 2014 |
| Senior Management/External Sponsor | Chairman, David Willis |



AUDIT AND RISK COMMITTEE

Matters Arising

Audit and Risk Committee is asked to **note** the following matters arising from the minutes of the meeting held on 4th June 2014.

2013.57 Fire Safety Report [ARC2013/47]

[c] £3.6m of the LTM backlog related to fire safety, with plans to complete all work within three years. This work primarily related to ensuring best practice, rather than addressing areas of legal non-compliance. A short briefing on the implied levels of risk being accepted by the prioritisation of work would be provided to the Committee under Matters Arising at the next meeting.

A summary about interim risk management measures to address fire safety issues has been included as a case study in the Health and Safety 'deep dive' paper (ARC2014/03).

2013.65 Anti-bribery and Corruption Compliance Policies Update [ARC2013/55]

[b] Three schools had low compliance scores (Dentistry, Economics and Finance and the School of Physics and Astronomy). A short update on progress with training in these schools would be provided under Matters Arising at the next meeting.

The Audit and Risk Committee noted three schools which had low compliance scores (Dentistry, Economics and Finance and the School of Physics and Astronomy) and requested a short update on progress with training in these schools at their next meeting.

This updated requested training numbers as at 31 July 2014:

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| Dentistry | 72.8% (as at 14 July so this will be higher) |
| Economics and Finance | 66.0% |
| Physics and Astronomy | 45.0% |

In addition, Audit and Risk Committee is asked to **note** the following matter arising from the minutes of the meeting held on 4 September 2013.

**2013.003 Update on plans for the external audit and any headline issues
[ARC2013/17]**

[d] The committee requested that a procedure should be drawn up that outlined the threshold on non-audit fees and brought to the September meeting.

A draft policy on using external auditors for non-audit services was appended to the Matter Arising paper (ARC2013/18), which was received and approved by the committee at the meeting on 14 November 2013.

This policy has been implemented on an ongoing basis.